

State of Alaska FY2008 Governor's Operating Budget

Department of Health and Social Services Behavioral Health Grants Component Budget Summary

Component: Behavioral Health Grants

Contribution to Department's Mission

To mitigate the impact of behavioral health issues on individual Alaskans who experience severe mental health, alcoholism and other substance abuse impairments by funding prevention, intervention and treatment services through local grantee organizations; and to fund services to assist individuals to achieve recovery and attain their highest possible functioning level.

Core Services

The Behavioral Health Grants component controls grant funding to local non-profit agencies to support mental health and substance abuse intervention and treatment services, in order to provide the comprehensive, statewide mental health and substance abuse prevention and treatment system required by law. These publicly funded programs primarily serve Alaskans without insurance or the ability to pay for services. This component also supports personal skills development and general support services for people with traumatic brain injury and maintenance of state-owned community mental health facilities. With the on-going efforts of the DBH Behavioral Health Integration Project (BHIP), the division will continue to develop an integrated behavioral health system of care and service delivery. The DBH expects that grantee providers will continue to be cross-trained in both mental health and substance abuse treatment and service delivery, commensurate with dual diagnosis capability. The Division is challenged to improve data-collection strategies to support grantees and data needs at the state and federal level.

FY2008 Resources Allocated to Achieve Results

FY2008 Component Budget: \$19,123,900	Personnel:	
	Full time	0
	Part time	0
	Total	0

Key Component Challenges

FY07 will mark the fourth year of the merger of the Alcoholism and Drug Abuse Grants component and the General Community Mental Health Grants component. The purpose of this union was to provide integrated substance abuse and mental health grants and services to dually-funded agencies, and to provide specialized programs for dually-diagnosed clients. The challenges of these integration efforts are the elimination of any “wrong doors,” increased administrative and fiscal efficiency and, most importantly, improved services. This is a complex undertaking involving two former divisions with different regulations and standards in many areas including staff qualifications, lack of parity in Medicaid reimbursements, and approval certification/quality assurance.

The Division is challenged to meet the service needs of an increasing number of people who are ordered to get treatment or risk specific consequences, e.g. loss of custody of children or incarceration, when our budget has not increased to meet the service demands. Continued failure to increase funding will severely limit services in FY08.

The Division is challenged to improve data-collection strategies to support grantees and data needs at the state and federal level. Also, the integrated grant system provides special challenges in terms of financial management.

- Inflation impacts on budgets
- Decrease in stable workforce without budget increases
- Increase in referrals to higher levels of care and increased correctional and emergency services impacts

Significant Changes in Results to be Delivered in FY2008

- The Division is on the cusp of significant improvement in integration and needs to complete the work of retuning the system. Focus areas include screening and assessment, financing the delivery system, information sharing, provider collaboration and an improved licensing and credentialing system.
- FY08 will bring stabilization of the system and expanding services throughout the state in less costly levels of care for adults and children.
- Community based services must increase for us to keep kids home and maintain adults outside of locked psychiatric or detention facilities.
- Increase coordination and collaboration with tribal programs to increase services in rural and frontier communities (e.g., the Rural Human services and Behavioral Health Aide systems).
- Implement on-going system assessment that quantifies the services and performance gains, and reallocates funding in the Division's grants using this methodology:

Treatment and Recovery Services

Service Categories that will be held harmless

Emergency Services Responsibility for a service area: PES Component Funding Only
Sole provider for service area (i.e., Methadone)
The Division is the sole contributor for the agency in a limited service environment

Formula for calculating distribution to Comprehensive Behavioral Health Grants:

Redistribute grant funds based on clients served in our funded service types across similarly populated areas using these quantitative and qualitative review formats:

Quantitative Review:

1. How many people –
 - a. are treated within priority populations for MH¹
 - b. are treated within priority populations for SA²
 - c. are treated total (by funding component)
 - d. successfully completed treatment (SA)
 - e. are maintaining treatment in community setting (MH)
2. What services is the agency providing – capture billing numbers and rank them by services (total hours provided) against clients served³
 - a. rehabilitation services
 - b. clinic services
3. Using AKAIMS or EDI
4. Average Utilization for Residential Treatment Programs and Detox – (see footnote 3 for scoring strategy)
rank them by utilization against clients served

Qualitative Review⁴

1. Audit Performance (see footnote 3 for scoring strategy)
2. Customer Satisfaction (see footnote 3 for scoring strategy)
3. Treatment Outcomes (see footnote 3 for scoring strategy)
4. Grant Allocation Spending (see footnote 3 for scoring strategy)

We will utilize the Qualitative Review Items to determine Provider Performance, including timely submission of quarterly program reports, timely and accurate submission of Cumulative Fiscal Reports for our FY08 Grants in all funding categories.

¹Federally Required Priority Populations -- Individuals Eligible for Mental Health Treatment:

1. Psychiatric Emergency Services
2. Services to Adults with Serious Mental Illness
3. Services to Seriously Emotionally Disturbed Youth

²Federally Required Priority Target Populations – Individuals Eligible for Substance Abuse Treatment:

1. Pregnant Women
2. IV Drug Users
3. Women with Dependent Children
4. Persons and families whose presenting problem is addiction to, dependency on, or chronic disabling use/abuse of alcohol and/or other drugs, including prescribed and over-the-counter medications, and household/general use products that contain inhalant chemicals and substances.

³Grants will be rank ordered –

- the top 1/3 earns 10+
- the mid 1/3 earns 5+
- the bottom 1/3 earns 1+

⁴Data Sources:

- Quarterly Reports
- Medicaid Billing (STARS)
- MHSIP/BHSIP
- CSR

Major Component Accomplishments in 2006

1. In FY06, \$19,172.4 was awarded from this component for substance abuse and mental health (“behavioral health”) prevention, intervention, and treatment services.
2. Grantees of the division began to implement best-, promising-, or Alaska-value-based-practices for service delivery. It is our expectation that widespread use, analysis, and evaluation of these program designs will ultimately improve outcomes for clients of these programs.
3. The RFP process also emphasized and encouraged clinical and administrative integration of services, and a community planning process to optimize limited resources.
4. Work on Integrated Behavioral Health Treatment and Behavioral Health Credentialing Standards, which underpin the development of draft Integrated Medicaid regulations – all of which affect and improve the way grantees deliver services – was completed in FY06.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 78	Grant Programs
7 AAC 72	Civil Commitment
7 AAC 71	Community Mental Health Services
AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism & Intoxication Treatment Act
7 AAC 29	Uniform Alcoholism & Intoxication Treatment Act
7 AAC 32	Depressant, Hallucinogenic, and Stimulant Drugs
7 AAC 33	Methadone Programs

Contact Information

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Behavioral Health Grants Component Financial Summary

All dollars shown in thousands

	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	1.9	0.0	0.0
73000 Services	1,345.0	3,275.0	3,275.0
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	18,504.8	17,003.5	15,848.9
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	19,851.7	20,278.5	19,123.9
Funding Sources:			
1002 Federal Receipts	2,500.4	3,107.6	3,107.6
1004 General Fund Receipts	1,743.9	0.0	0.0
1007 Inter-Agency Receipts	297.4	297.4	297.4
1037 General Fund / Mental Health	884.6	0.0	0.0
1092 Mental Health Trust Authority Authorized Receipts	999.1	1,864.6	710.0
1180 Alcohol & Other Drug Abuse Treatment & Prevention Fund	13,426.3	15,008.9	15,008.9
Funding Totals	19,851.7	20,278.5	19,123.9

Estimated Revenue Collections

Description	Master Revenue Account	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	2,500.4	3,107.6	3,107.6
Interagency Receipts	51015	297.4	297.4	297.4
Restricted Total		2,797.8	3,405.0	3,405.0
Total Estimated Revenues		2,797.8	3,405.0	3,405.0

**Summary of Component Budget Changes
From FY2007 Management Plan to FY2008 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2007 Management Plan	0.0	3,107.6	17,170.9	20,278.5
Proposed budget decreases:				
-Decrease in MHTAAR Funding	0.0	0.0	-1,309.6	-1,309.6
Proposed budget increases:				
-Increased MHTAAR Funding for Workforce and Justice Initiatives	0.0	0.0	155.0	155.0
FY2008 Governor	0.0	3,107.6	16,016.3	19,123.9